

Annexure – B

Investor Complaints Data - Stock Broker

Data for every month ending 30- Nov--2024

S. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month**		Average Resolution time^
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	3	3	0	0	0	0
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grand Total	0	3	3	0	0	0	0

Trend of Yearly disposal of complaints

S.No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1.	April 2022 – Mar 2023	0	0	0	0
2.	April 2023 – Mar 2024	0	0	0	0
3.	April-2024	0	0	0	0
4.	May-2024	0	0	0	0
5.	June-2024	0	0	0	0
6.	July- 2024	0	0	0	0
7.	Aug-2024	0	1	1	0
8.	Sept-2024	0	0	0	0
9.	Oct-2024	0	1	1	0
10	Nov-2024	0	3	0	3
	Grand Total	0	5	2	3

Trend of annual disposal of complaints

S. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1.	2017-18	0	0	0	0
2.	2018-19	0	0	0	0
3.	2019-20	0	0	0	0
4.	2020-21	0	0	0	0
5.	2021-22	0	0	0	0
6.	2022-23	0	0	0	0
7.	2023-24	0	0	0	0
8.	2024-2025	0	5	2	3
	Grand Total	0	5	2	3