

### Annexure – B

### **Investor Complaints Data - Stock Broker**

## Data for every month ending 31-Oct--2024

S. No.	Received from	Carried forward from previous month	Receive d during the month	Total Pending	Resolved	Pending at the end of the month**		Average Resoluti on time^
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES	0	1	0	1	0	0	0
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grand Total	0	1	0	1	0	0	0

### Trend of Yearly disposal of complaints

S.No.	Year	Carried	Received	Resolved	Pending at
		forward from	during the	during the	the end of the
		previous year	year	year	year
1.	April 2022 – Mar 2023	0	0	0	0
2.	April 2023 – Mar 2024	0	0	0	0
3.	April-2024	0	0	0	0
4.	May-2024	0	0	0	0
5.	June-2024	0	0	0	0
6.	July- 2024	0	0	0	0
7.	Aug-2024	0	1	1	0
8.	Sept-2024	0	0	0	0
9.	Oct-2024	0	1	1	0
	Grand Total	0	2	2	0



S. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1.	2017-18	0	0	0	0
2.	2018-19	0	0	0	0
3.	2019-20	0	0	0	0
4.	2020-21	0	0	0	0
5.	2021-22	0	0	0	0
6.	2022-23	0	0	0	0
7.	2023-24	0	0	0	0
8.	2024-2025	0	2	2	0
	Grand Total	0	2	2	0

# Trend of annual disposal of complaints